

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	<input checked="" type="checkbox"/> Declaration Submitted With Initial Filing	<input type="checkbox"/> Declaration Submitted After Initial Filing (surcharge (37 CFR 1.16(a)) required
	Attorney Docket No.: AUT6102P0011US	
	First Named Inventor: James R. Woodhill	
	<i>COMPLETE IF KNOWN</i>	
	Application Number:	
	Filing Date:	
Group Art Unit:		
Examiner Name:		

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed) or an original, first, and joint inventor (if plural names are listed) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **System and Method of Using The Public Switched Telephone Network In Providing Authentication Or Authorization For Online Transactions**, the specification of which:

- ☒ is attached hereto; or
- ☐ was filed on _____
as Application Serial No. _____
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information to the Patent and Trademark Office known to me to be material to patentability of this application, as defined in 37 CFR. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Numbers	Country	Foreign Filing Date	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- ☐ Additional foreign application numbers are listed on a supplemental priority data sheet (PTO/SB/02B) attached hereto.

I hereby claim the benefit under 35 U.S.C. 119 (e) of any United States application(s) listed below.

Application Number(s)	Filing Date	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet (PTO/SB/02B) attached hereto.
60/170,808	December 15, 1999	

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet (PTO/SB/02B) attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Lawrence J. Chapa	Reg. No. 39,135	Paul M. Odell	Reg. No. 28,332
Randall T. Erickson	Reg. No. 33,872	Robert B. Polit	Reg. No. 33,993
Stephen D. Geimer	Reg. No. 28,846	Elaine M. Ramesh	Reg. No. 43,032
Allen J. Hoover	Reg. No. 24,103	Keith V. Rockey	Reg. No. 24,713
Martin L. Katz	Reg. No. 25,011	John F. Rollins	Reg. No. 38,013
Kathleen A. Lyons	Reg. No. 31,852	Thomas I. Ross	Reg. No. 29,275
John P. Milnamow	Reg. No. 20,635	Joel E. Siegel	Reg. No. 25,440
Lisa V. Mueller	Reg. No. 38,978	Paul M. Vargo	Reg. No. 29,116

whose mailing address for this application is: **ROCKEY, MILNAMOW & KATZ, LTD.**
Two Prudential Plaza - Suite 4700
180 North Stetson Avenue
Chicago, Illinois 60601
Telephone: (312) 616-5400
Facsimile: (312) 616-5460

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:	James R. Woodhill
Citizenship:	USA
Residence:	121 North Post Oak Lane, Houston, Texas 77024
Post Office Address (if different):	Same as Residence
Signature: <i>James R. Woodhill</i>	Date: 9 Dec 2000

Name of Additional Inventor, if any:	
Citizenship:	
Residence:	
Post Office Address (if different):	
Signature:	Date:

Name of Additional Inventor, if any:	
Citizenship:	
Residence:	
Post Office Address (if different):	
Signature:	Date:

Name of Additional Inventor, if any:	
Citizenship:	
Residence:	
Post Office Address (if different):	
Signature:	Date:

Name of Additional Inventor, if any:	
Citizenship:	
Residence:	
Post Office Address (if different):	
Signature:	Date:

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) AND 1.27(b) - INDEPENDENT INVENTOR)

Applicant/Patentee: James R. Woodhill	Atty Docket No.: AUT6102P011US
Serial/Patent Number:	Filed/Issued:
For: System and Method of Using The Public Switched Telephone network In Providing Authentication Or Authorization For Online Transactions	

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees to the United States Patent and Trademark Office with regard to the invention entitled: described in:

☒ the specification filed herewith ☐ the application identified above ☐ the patent identified above

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed, or am under an obligation under contract or under law to assign, grant, convey, or license any rights in the invention, is listed below:

- ☐ no such person, concern, or organization.
☒ person, concerns, or organizations listed below.*

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 C.F.R. 1.27)

FULL NAME Authentify, Inc.
ADDRESS 2340 South River Road, Suite 404, Des Plaines, Illinois 60018
☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

James R. Woodhill
NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

X James R. Woodhill
Signature of Inventor

Signature of Inventor

Signature of Inventor

X 21 Nov 2000
Date

Date

Date

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN)

Applicant/Patentee: James R. Woodhill	Docket No.: AUT6102P0011US
Serial/Patent No.:	Filed/Issued:
For: System and Method of Using the Public Switched telephone Network In Providing A ...	

I hereby declare that I am:

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN: Authenticate, Inc.
ADDRESS OF CONCERN: 2340 South River Road, Suite 404
Des Plaines, Illinois 60018

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR. Part 121, for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, D.C. 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

NAME OF PERSON SIGNING: Peter Tapling
TITLE OF PERSON OTHER THAN OWNER: President
ADDRESS OF PERSON SIGNING: 2340 South River Road, Suite 404, Des Plaines, Illinois 60018

SIGNATURE: 

DATE: 26-Nov-2000